Client Relationship Summary (Form CRS) January 2025

Introduction

Clarion Call Capital, LLC, is a Securities and Exchange Commission (SEC) registered investment advisor. There are differences between investment advisory and brokerage services and fees, and it is important for you to understand them. You can research firms and financial professionals using free and simple tools provided by the SEC, such as https://www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What Investment Services and Advice Can You Provide Me?

INVESTMENT MANAGEMENT SERVICES
Clarion Call Capital offers investment advisory services to retail investors. If you request our investment management services, as part of our standard services, we will:

- Provide ongoing monitoring of your investment accounts.
- Accept discretionary authority over your investment account via the execution of an investment management agreement, allowing us to trade without your prior approval of each trade.
- Provide a range of proprietary and non-proprietary investment products that include separately managed accounts (SMA), SMA models, and an exchange-traded fund (ETF).
- Impose a minimum investment account balance of $1,000,000 per relationship for new clients.

However, we may accept clients with smaller portfolios, on a case-by-case basis.
All our investment management clients are committed to values-aligned investing. In addition to financial analysis performed on portfolios, this type of investing also uses a set of non-financial criteria to include or exclude companies/municipalities for investment (this may include environmental impact, social impact, and corporate/municipal governance).

FINANCIAL CONSULTING SERVICES
We offer limited financial consulting services, additional details about our services are provided in our Form ADV Part 2A, Item 4.

ASK YOUR QUALIFIED FINANCIAL PROFESSIONAL:
▫ Given my financial situation, should I choose an investment advisory service? Why or why not?
▫ How will you choose investments to recommend to me?
▫ What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What Fees Will I Pay?

- Retail Investors pay Asset-Based Fees. Monthly management fees are charged in advance as a percentage of assets under our management. The more assets there are

in your investment account, the more you pay us in fees, and we therefore have an incentive to encourage you to increase the assets in your account.

- Fixed or Hourly Fees: Financial consulting fees are billed when the services are provided and are disclosed in advance. Our fees are negotiable and may vary amongst clients.

Other fees and costs you may pay:

- Custodian fees & Maintenance fees. These are paid to your custodian directly, on an annual or monthly basis.
- Transactional and product-level fees. These are paid to the executing custodian directly, with each transaction.
- Fees related to Funds & ETFs. Funds may impose ongoing management fees, administrative fees, and redemption or surrender fees. These are paid to the issuer of the Fund.
- Other management fees may be paid to the investment advisor, model manager, and/or sub-advisor if you participate in this type of program.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. More details about our fees are in our Form ADV Part 2A, Item 5.

ASK YOUR QUALIFIED FINANCIAL PROFESSIONAL:

▫ Help me understand how fees and costs may affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What Are Your Legal Obligations To Me When Acting As My Investment Advisor? How Else Does Your Firm Make Money, And What Conflicts Of Interest Do You Have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- We have an incentive to advise you to invest in one or more proprietary products for which we may serve as sponsor/investment adviser, and/or model manager and from which we earn fees in addition to the management fee you will pay.
- We advise you to custody your investment accounts with Charles Schwab & Co. because they provide us with trading, reporting, compliance, education, and back-office services and research.
- We charge fees for our services, and that creates a conflict with your interests. The more assets there are in your investment account, the more you pay us in fees, and we therefore have an incentive to encourage you to increase the assets in your account. This occurs when we

recommend you invest in securities rather than non-managed assets, such as real estate, or if you roll over a 401(K) account to an IRA account managed by our Firm.

- Clarion Call does not buy securities from, or sell securities to, retail investors. We do not accept any Third-Party Payments, engage in Principal Trading, or participate in any Revenue Sharing agreements.

ASK YOUR QUALIFIED FINANCIAL PROFESSIONAL:

▫ How might your conflicts of interest affect me, and how will you address them?
More details about our potential conflicts of interest are available in Part 2A of our Form ADV.

How Do Your Financial Professionals Make Money?

Our professionals are paid a salary based on their education, professional training, work background, and the level of skill and dedication they exhibit in their work. They are not compensated based on products sold, product sales commissions, or revenue the firm earns from the professional's advisory services or recommendations. Professionals who are owners of the firm share in the profits of the business.

Do You or Your Financial Professionals Have Legal or Disciplinary History?

No. We recommend that you visit https://www.adviserinfo.sec.gov/ for a free and simple search tool to research our firm and our financial professionals.

ASK YOUR QUALIFIED FINANCIAL PROFESSIONAL:

▫ As a financial professional, do you have any disciplinary history? For which type of conduct?

For additional information on our advisory services, fees, conflicts, and personnel, see our Form ADV Parts 1 and 2. If you have any concerns or questions, or to obtain copies of our Form ADV or Relationship Summary, please contact our office at 718-399-0857 or compliance@clarioncallcapital.com.

ASK YOUR QUALIFIED FINANCIAL PROFESSIONAL:

- ▫ Who is my primary contact person?
- ▫ Are they a representative of an investment adviser or a broker-dealer?
- ▫ Who can I speak with if I have concerns about how this person is treating me?